Management of Humeral Shaft Fractures

Abstract

Humeral shaft fractures account for approximately 3% of all fractures. Nonsurgical management of humeral shaft fractures with functional bracing gained popularity in the 1970s, and this method is arguably the standard of care for these fractures. Still, surgical management is indicated in certain situations, including polytraumatic injuries, open fractures, vascular injury, ipsilateral articular fractures, floating elbow injuries, and fractures that fail nonsurgical management. Surgical options include external fixation, open reduction and internal fixation, minimally invasive percutaneous osteosynthesis, and antegrade or retrograde intramedullary nailing. Each of these techniques has advantages and disadvantages, and the rate of fracture union may vary based on the technique used. A relatively high incidence of radial nerve injury has been associated with surgical management of humeral shaft fractures. However, good surgical outcomes can be achieved with proper patient selection.

Frazures of the humeral shaft account for approximately 3% of all fractures.1 In the United States, approximately 66,000 humeral shaft fractures occur annually. Most of these fractures occur in the elderly population and are the result of a fall; however, younger patients may sustain this injury secondary to penetrating or high-energy trauma.1

Anatomy and Surgical Approach

The humeral shaft extends distally from the proximal border of the pectoralis major insertion to the supracondylar ridge. The spiral groove, which contains the radial nerve, is located posteriorly and serves as an important landmark. Cadaver studies have demonstrated that the radial nerve crosses the posterior aspect of the humerus approximately 14 cm proximal to the lateral epicondyle, and the nerve lies directly adjacent to the posterior aspect of the humerus for 6.5 cm. The nerve pierces the lateral intermuscular septum an average of 10 cm proximal to the lateral epicondyle, entering the anterior compartment. Given the proximity of the radial nerve to the osseous anatomy of the humerus, the nerve is at significant risk of injury in association with humeral shaft fracture.1

Surgical approach to the humerus is dictated by the anatomic location of the fracture (Table 1). The anterolateral approach is a distal continuation of the deltopectoral approach and splits the brachialis muscle. Although the laterally located radial nerve is protected from the lateral portion of the brachialis, identification of the nerve is imperative with more distal extension.1
The posterior approach can provide excellent access to the posterior aspect of the humeral shaft for distal fracture patterns. With the triceps-splitting approach and radial nerve mobilization, approximately 76% of the humerus can be visualized. Gerwin et al showed that exposure of approximately 94% of the humeral shaft can be achieved using a modified posterior approach. The triceps can be mobilized from lateral to medial (paratricipital approach) or a triceps-splitting approach can be used. In the traditional triceps-splitting approach, the heads of the triceps brachii muscle are separated. Superficial dissection involves development of the interval between the lateral and long heads of the triceps; this exposes the deeper medial head, which is then incised and dissected subperiosteally to expose the humerus. This approach makes use of the tissue plane between the lateral head of the triceps and the lateral intermuscular septum. This approach has gained popularity because it avoids injury to the muscle, potentially resulting in decreased adhesion and scar formation as well as a decreased risk of denervation. Only 55% of the distal humerus can be exposed without mobilization of the radial nerve; however, if the nerve is mobilized and the lateral head of the triceps is elevated laterally, 76% of the humeral shaft is accessible via the triceps-splitting approach. Regardless which approach is used, the radial nerve must be identified as it exits the spiral groove, and it must be protected throughout the procedure.

### Initial Examination

Initial patient assessment involves a complete physical examination with adherence to Advanced Trauma Life Support guidelines. Often, humeral shaft fractures are harbingers of other injuries, notably hepatic trauma, in polytraumatized patients. A thorough neurovascular examination of the affected extremity should be performed and documented before undertaking any intervention, including closed reduction or surgery. Orthogonal and plain radiographs of the shoulder and elbow are required to rule out concurrent injury. Advanced imaging such as CT is rarely necessary for humeral shaft fractures.

### Nonsurgical Management

Most humeral shaft fractures can be managed nonsurgically. Nonsurgical management relies on secondary bone healing and callus formation. Gravity helps to align the fracture, with compression imparted via bracing and hydrostatic pressure. A certain amount of malalignment is well tolerated by patients. Klenerman found that deformities, including those with <20° degrees of angulation in the sagittal plane, those with...
<30° of varus or valgus angulation, or limb shortening <2 to 3 cm, are generally considered acceptable and compatible with good function. Varus angulation of up to 10° is common and is a result of both deforming fracture forces and bracing techniques, whereas valgus and sagittal plane malalignment is rare. Rates of union achieved with nonsurgical management vary, but rates >90% have been reported.

Initial stabilization of humeral shaft fractures often includes coaptation splinting. Proper splint application is essential for adequate stabilization. Medially, the U-shaped splint should be placed as far into the axilla as possible, and the splint should extend past the deltoid and onto the neck laterally. Varus fracture angulation caused by termination of the axillary portion of the splint distal to the fracture is common. Potential deformity can be minimized by proper splint placement and use of a valgus mold at the fracture site. Coaptation splinting may be poorly tolerated, and slings and posterior splints can be considered for management of proximal and distal fractures, respectively.

The functional bracing method pioneered by Sarmiento has become the mainstay of treatment for most humeral shaft fractures. Functional bracing provides circumferential compression at the fracture site. The brace is typically applied 5 to 7 days postinjury, following a short period of coaptation splinting (Figure 1). Immediate elbow and wrist range of motion (ROM) is essential for successful therapy with a functional fracture brace. However, active shoulder motion is not permitted until the fracture is clinically stable to avoid increased angular deformity. The brace must be kept snug by intermittent tightening. In a study of 620 patients with a diaphyseal fracture of the humerus treated with functional bracing, Sarmiento et al reported that 7 of 465 patients (<2%) with closed fractures and 9 of 155 patients (6%) with open fractures went on to nonunion following functional bracing. In this series, average time to union was 9 to 14 weeks in closed and open fractures, respectively. Mild deformity was common; 70% of patients had ≤5° of angulation in the sagittal plane at final follow-up, and approximately 1% had apex anterior angulation >25°. Varus deformity was more common; 75% to 80% of patients had varus or valgus <10°, which was considered acceptable. Fracture location (proximal, middle, or distal fractures) did not appear to affect outcomes of functional bracing.

Although most patients do well with nonsurgical treatment, some reports suggest that overall functional outcome is somewhat lower than that of an uninjured population, and a significant number of patients con-
Surgical management is indicated in several clinical scenarios (Table 2). Failure to achieve acceptable alignment with an adequate trial of bracing is one indication for surgical intervention (Figure 2). This is particularly true of varus angulation, which may cause difficulty with functional shoulder abduction. Certain fracture patterns may have a higher risk of failing nonsurgical management, including AO type A and proximal humerus fractures. In a retrospective review of 32 patients with nonunions following a trial of functional bracing, Ring et al found that most of the original fracture patterns were either oblique or spiral.

Open fractures are often managed surgically, with soft-tissue and bone débridement and stabilization to decrease further tissue trauma. Surgery should be considered for polytraumatized patients and those with fractures that involve the ipsilateral humeral articular surfaces (ie, shoulder, elbow, floating elbow) to prevent prolonged immobilization and facilitate self-care (Figure 3).

Few studies have compared surgical and nonsurgical management of humeral shaft fractures. Wallny et al retrospectively compared 44 patients with humeral shaft fractures treated with functional bracing (group 1) with 45 patients treated with a locking intramedullary (IM) nail (group 2). Nonunion occurred in two patients in group 1 and in no patients in group 2. At final follow-up, 38 of 44 patients (86%) in group 1 had unrestricted shoulder movement compared with 22 of 45 patients in group 2 (48%). In addition, two patients from the IM nailing group required reoperation for infection and hematoma. Denard et al retrospectively reviewed 213 patients with humeral shaft fractures treated with functional bracing or compression plating. The rates of nonunion and malunion (defined as angulation >20° in any plane on radiographs) were greater in the nonsurgical group than in the surgical group (20.6% versus 8.7%, 12.7% versus 1.3%, respectively). However, there were no significant differences between the two groups with regard to time to union, infection, or iatrogenic radial nerve palsy. In a retrospective review of diaphyseal fractures of the distal one third of the humerus, Jawa et al treated patients with either open reduction and internal fixation (ORIF) or bracing. The authors reported that all of the fractures managed with functional bracing united, and there was no sta-
statistical difference in shoulder or elbow ROM between the two groups.

External Fixation
With the advent of improved surgical techniques, definitive management of humeral shaft fracture with external fixation has become rare. Soft-tissue injuries, burns, and fractures that require immediate stabilization are relative indications for external fixation. For example, in a patient with multiple long bone fractures and shock, temporary external fixation may be used during a vascular repair. External fixation is a temporary measure commonly used before more definitive management. Complications associated with external fixation include damage to neurovascular structures via percutaneous pin placement and high risk of infection.

Thorough knowledge of the cross-sectional anatomy is critical for safe placement of an external fixator. For example, when external fixation is used to manage a midshaft fracture of the humerus, it is important to place the proximal pin through the anterolateral fibers of the deltoid, taking care to avoid the axillary nerve proximally as well as the long head of the biceps and the more medially located neurovascular structures. Pin placement in the middle third of the humeral shaft should be avoided given the lack of a distinct, anatomically defined safe zone in this area. In the distal one third of the humeral shaft, pins are inserted posteriorly through the triceps and parallel to the joint line, with care taken to avoid the radial and ulnar nerves and the olecranon fossa. For distal third fractures, pins are placed posteriorly through the triceps after palpating the ulnar nerve; the pins are inserted medial to lateral and parallel to the joint line. A generous incision is required to facilitate visualization of structures at risk of injury during pin insertion.

Open Reduction and Internal Fixation
Plate-and-screw fixation allows direct visualization, anatomic reduction, and interfragmentary compression of the fracture and facilitates identification, exploration, and protection of the radial nerve. In addition, neither the shoulder nor elbow joint is violated, thereby preserving function and motion. Careful attention should be paid to minimize soft-tissue stripping and to achieve compression and rigid stabilization. Fracture gaps are poorly tolerated in the humerus and should be avoided. Postoperatively, immediate ROM of both the shoulder and elbow are allowed.

Disadvantages of plate fixation include larger dissections, possible soft-tissue stripping, and the potential for iatrogenic injury to the radial nerve. Therefore, adherence to standard AO principles of anatomic fracture reduction and preservation of soft tissue are imperative. Several devices, such as reduction clamps, Kirschner wires, and small plates, can be used to help maintain the reduction until final plate fixation can be performed (Figure 4). Spiral, oblique, or butterfly fragments may be amenable to lag screw fixation if care is taken to avoid devascularization of the fragments. Highly comminuted fractures are typically managed using a bridge plating technique in which the plate spans the comminuted fracture, with care taken not to disturb fracture biology.

Historically, stabilization of the fracture with eight cortices of fixation and a minimum of three to four screws inserted proximal and distal to the fracture was recommended. However, more recent studies have reported that the working length of
the plate may be more important than the number of cortices of fixation, and the increased spacing between screws provides advantages. Lindvall and Sagi said, “as fracture stabilization continues to evolve, the trend continues towards more strategic placement of implants with less total hardware.” Some believe that use of a locking construct may be beneficial for management of osteoporotic bone or nonunion and for small, proximal or distal fracture fragments. Dual plating has also been advocated as an alternative fixation method for management of distal third humeral shaft fractures because it allows early, agressive motion without significant complications.

**Minimally Invasive Percutaneous Osteosynthesis**

Recently, some authors have advocated the use of minimally invasive percutaneous osteosynthesis (MIPO) techniques for management of highly comminuted humeral shaft fractures. These techniques minimize disruption of fracture biology and limit the amount of dissection needed compared with that required for conventional plating. MIPO techniques are typically performed via an anterior approach, which protects the posteriorly located radial nerve. Union rates ranging from 90% to 100% have been reported in two recent studies, with no reports of iatrogenic injury to the radial nerve. In a retrospective review that compared MIPO and ORIF for management of humeral shaft fracture, none of the 17 patients treated with MIPO had iatrogenic radial nerve palsy compared with 5 of 16 patients (31%) who underwent ORIF. In addition, functional outcomes with respect to shoulder and elbow function were similar in both groups. MIPO techniques appear to permit early recovery of motion in the shoulder and elbow.

**Intramedullary Nailing**

IM locked nails are load-sharing implants that allow preservation of periosteal blood supply and minimize disruption of fracture biology. Fracture fixation with flexible nails, Kirschner wires, or Enders nails are used less frequently secondary to difficulty in controlling rotation and axial forces with these devices. Patient positioning for antegrade IM nailing includes lateral (or semilateral), beach chair, or supine with a bump placed under the ipsilateral...
scapula. Retrograde nailing is usually indicated for management of mid diaphyseal or proximal third fractures and, occasionally, distal third fractures of the humerus. It is most easily performed with the patient in a prone or lateral position.

Although union rates achieved with IM nailing of humeral shaft fractures (range, 87.5% to 97%) approach those of plating techniques, IM nailing of these fractures has been associated with shoulder pain and a high number of secondary procedures.\textsuperscript{5,24} Traditional approaches for nailing involve incising the rotator cuff, which may lead to shoulder pain or limited ROM postoperatively. Park et al\textsuperscript{25} described an alternative approach for antegrade nailing in which an entry portal is made through the rotator interval to avoid damage to the hypovascular zone, irritation of the subacromial space, and, ultimately, poor shoulder function and pain scores. Retrograde nailing requires creation of an entry portal proximal to the olecranon fossa and may increase the risk of iatrogenic fracture secondary to aberrant entry portal placement and elbow pain secondary to surgery about the elbow. Lower infection rates have been reported with IM nailing of diaphyseal fractures of the humerus, and some studies have reported decreased iatrogenic injury to the radial nerve.\textsuperscript{5}

With proper patient selection, good outcomes can be achieved using retrograde or antegrade nailing (Figure 5). In a prospective comparison of antegrade and retrograde nailing for mid shaft fractures of the humerus, Cheng and Lin\textsuperscript{26} reported that time to union (11 weeks versus 12 weeks, respectively) and union rate (95% versus 93%, respectively) were similar. Rommens et al\textsuperscript{24} reported excellent shoulder and elbow function following both antegrade and retrograde IM nailing for humeral fracture stabilization, whereas Changulani et al\textsuperscript{5} found that 4 of 23 patients (20%) with diaphyseal fracture treated with antegrade nailing had decreased shoulder function secondary to nail impingement at final follow-up, though no difference was found in shoulder functional outcome between the use of a plate and the use of an IM nail. Cheng and Lin\textsuperscript{28} found that shoulder recovery following antegrade nailing was twice as long as that following retrograde nailing based on Neer shoulder scoring. However, elbow recovery following retrograde nailing was twice as long as that for antegrade nailing based on Mayo Elbow Performance Score.

**IM Nailing versus ORIF**

Several studies have compared IM nailing with compression plating for management of humeral shaft fractures. Changulani et al\textsuperscript{5} found that the rate of nerve injury was similar in patients treated with IM nailing or dynamic compression plating. Of 23 patients in the nailing group, only one injury to the axillary nerve was reported. Of 24 patients in the plating group, one injury to the radial nerve was reported; however, infection was four times higher with plating than with nailing.\textsuperscript{5} This rate of infection has not been reported in other studies.

Singisetti and Ambedkar\textsuperscript{27} found that plating was more reliable than IM nailing in terms of obtaining excellent to good results, as defined by ROM at the shoulder and elbow, lack of pain, and lack of disability. The authors reported delayed union in 10 of 20 patients (50%) treated with IM nails and a 15% incidence of shoulder dysfunction. In randomized prospective studies by McCormack et al\textsuperscript{29} and Putti et al,\textsuperscript{29} no significant difference in shoulder and elbow function was found in patients treated with IM nailing compared with plate fixation.
with those treated with ORIF; however, the reoperation and complication rates were higher in the IM nailing group. In a recent meta-analysis of plate fixation versus IM nailing for humeral shaft fracture, Heineken et al. noted that ORIF had a lower risk of complication than did IM nailing.

Regardless of technique, attention to detail is essential to avoid complications; shoulder pain may develop following antegrade nailing with poor technique, and nailing of a distracted fracture can lead to non-union. Inadequate fixation with gaping or distraction and aggressive retraction can damage the radial nerve and lead to suboptimal results following ORIF.

**Special Considerations**

**Radial Nerve Palsy**

In a systematic review of more than 4,000 humeral shaft fractures, radial nerve palsy occurred in an average of approximately 11% of fractures. Incidence of radial nerve palsy was higher in distal fractures of the humerus than in proximal fractures, with an incidence of only 1.8% found in proximal third humeral shaft fractures compared with 23.6% for distal third fractures. The authors also found that transverse and spiral fractures were associated with higher rates of nerve injury than were comminuted or oblique fractures. Interestingly, there was no statistically significant difference between open and closed fractures in terms of rate of radial nerve palsy. Spontaneous recovery occurred in 70% of patients in an average of 7 weeks (range, 2 weeks to 6.6 months).

Bishop and Ring found that early observation was appropriate in patients with radial nerve palsy given that early surgical intervention did not appear to improve outcomes except in special circumstances, including open humeral fracture, concomitant forearm injury, or floating elbow. In these cases, there was <40% chance of nerve recovery; thus, early intervention was deemed appropriate. Sonneveld et al. also compared early observation with early surgical intervention for management of humeral shaft fractures with associated injury to the radial nerve. In a series of 14 patients with humeral shaft fractures and nerve injuries who underwent early surgical exploration, 13 were found to have only contusion to the radial nerve. The authors reported similar rates of recovery in patients who underwent surgical exploration compared with those treated expectantly. In a systematic review of 1,045 patients with radial nerve palsy associated with humeral shaft fracture, Shao et al. demonstrated similar trends in eventual recovery regardless whether early surgical exploration or expectant management was employed. Despite these findings, the fingers and wrist should be braced in extension during the observation period to help prevent flexion contracture. In addition, aggressive therapy should be initiated to ensure adequate ROM in the fingers and wrist.

Length of observation remains a subject of debate. Many consider 6 months to be the maximum duration of expectant observation based on a nerve regeneration rate of 1 mm/day. If no return of function is seen in 2 to 3 months, electromyography (EMG) can be performed; however, some would consider performing EMG as early as 7 weeks postinjury. In patients with nerve injury, EMG findings at 6 weeks postinjury may include fibrillation potentials, positive sharp waves, and monophasic action potentials of short duration. If signs of spontaneous recovery are present, repeat evaluation at approximately 12 weeks should demonstrate larger polyphasic action potentials, although there seems to be little reason to reevaluate patients who are improving. A migrating Tinel sign on physical examination has also been shown to be a helpful prognostic indicator.

Certain clinical scenarios demand more aggressive management of radial nerve palsy (Table 3). The argument for exploration following open fracture stems from a study of 14 patients with radial nerve palsy and open fracture, 9 of whom had a lacerated or interposed nerve. Other studies advocate exploration in the setting of a high-energy mechanism of injury. In a retrospective review of 24 patients with high-energy humeral shaft fractures and associated complete radial nerve palsy, 18 fractures were surgically explored, and 6 patients were found to have a transected radial nerve. Five of these patients underwent primary repair. At follow-up, however, none showed signs of recovery. Shao et al. found that, at the time of late exploration, the nerve can be entrapped in 6% to 25% of cases and lacerated in 20% to 42%. Benefits of delayed surgical exploration include preventing unnecessary surgery and providing time for the nerve sheath to heal without early disruption in an environment conducive to healing. Disadvantages of late exploration include scarring...
and decreased nerve mobility, with some studies reporting worse outcomes. In addition to direct nerve repair and grafting for management of radial nerve injury, common tendon transfers can be performed years after the initial injury to improve function.

### Nonunion

Nonunion of humeral shaft fractures is relatively rare. When nonunion does occur, management requires identification of the underlying etiology. A nonunion work-up, as outlined by Brinker et al, should be considered in the absence of technical error. Hypertrophic nonunions require increased stability at the fracture site, whereas atrophic nonunions require biologic stimulation. Type and use of bone graft is debatable; Hierholzer et al achieved similar union rates using either iliac crest autograft or demineralized bone matrix along with rigid internal compression plating for management of atrophic nonunion. However, overall donor site morbidity associated with harvest of iliac crest autograft was 44%, and complications included infection and persistent pain. Other management options include autologous transplantation of concentrated bone marrow aspirate, use of fibular strut grafting for fracture site bridging to enhance screw purchase, and dynamic compression plating. Use of orthogonal plating may also be advantageous in some situations to increase stability during nonunion surgery.

External fixation (either uniplanar or circular) is a possible solution in the setting of nonunion caused by infection or bone loss. Similar complication rates have been reported with plate fixation and bone grafting compared with both uniplanar and circular external fixation systems. In a retrospective study of 80 patients with nonunion of the humeral shaft, Atalar et al treated 35 patients with circular external fixators, 24 with a unilateral limb reconstruction system, and 21 with plate fixation. Bone union was achieved in >95% of all patients regardless of fixation method used. Tomić et al reported similar results in 28 patients with atrophic nonunion treated with Ilizarov frame fixation; successful union was achieved in all 28 patients. In cases of nonunion caused by bone loss, bone shortening can be considered to achieve union.

### Osteoporotic Fractures

Management of osteoporotic fractures of the humeral shaft can be challenging. Debates regarding optimal management of these fractures focus on the types of screws used and the necessary number of cortices and screws required per fracture segment. Hak et al demonstrated that two locking screws per fracture segment provided sufficient stability in a simulated osteoporotic gapped-fracture model. This may be due in part to the plating concept of working length, in which increased fixation strength is noted with placement of screws close to the fracture, followed by plate fixation with screws spaced over a longer length plate. Using a Sawbones model (Pacific Research Laboratories, Vashon, WA) of osteoporotic bone, Gardner et al compared the performance of a locked construct with that of a hybrid plate construct in which an unlocked screw was used to aid reduction and locked screws were later used to protect the reduction. Construct performance was similar at 10 cycles and during the remaining cycles, with comparable stiffness reported at 1,000 cycles. The addition of cortical screws to two locking screws placed proximal and distal to the fracture did not compromise the biomechanical strength of the construct. The authors concluded that an all-locking plate construct may not be necessary for management of unstable osteoporotic fractures of the humeral shaft.

### Summary

Functional bracing and nonsurgical management remain the standard of care for most humeral shaft fractures; however, surgical management is indicated in certain situations, including polytrauma and failure of nonsurgical treatment. Numerous techniques such as ORIF, MIPO, and IM nailing can be used to manage these fractures, and each technique has demonstrated excellent outcomes with careful patient selection. Radial nerve palsy, nonunion, and osteoporotic fractures can complicate management of humeral shaft fractures; however, acceptable outcomes can be achieved when these clinical entities are managed appropriately.

### References

Evidence-based Medicine: Levels of evidence are described in the table of contents. In this article, references 5, 8, 26-29, 31, and 36 are level I studies. References 2, 4, 20, 21, 23, 25, 30, 33, 34, 41, 45, and 46 are level II studies. References 10, 11, 14-16, 22, 24, 37, 40, and 42 are level III studies. References 1, 6, 9, 12, 13, 17-19, 32, 38, 39, 43, and 44 are level IV studies. References printed in bold type are those published within the past five years.


