

Outpatient Total Shoulder **Arthroplasty**

Dave R. Shukla, M.D.

Shoulder and Elbow Specialist

Newport Orthopedic Institute

Hoag Orthopedic Institute

May 17, 2019

**California Orthopaedic Association Annual
Meeting**

May 16 - 19, 2019



Faculty Financial/Conflict of Interest Disclosure

- **Tornier Inc.:** Shoulder arthroplasty medical education

Shoulder Arthroplasty

- Incidence growth expected to *equal or surpass* hip and knee arthroplasty ¹

– 9.4% increase per year

- 1998 - 2011 → almost 4 X increase

– 18,550 → 68,000^{2,3}

1) Day et al, *JSES* 2010 Dec;19(8):1115-20. Epub 2010 Jun 15

2) Kim et al, *JBJS Am* 2011

3) Padegimas, *JSES* 2015.

Traditional Barriers to Outpatient

TSA

- Pain control
- Post-operative nausea
- Symptomatic hypo / hypertension

- Singh, Sperling, Cofield ⁴
 - 90-day risk of
 - Cardiac event: 2.6%
 - VTE: 1.2%

What are Risk factors for 30-day morbidity and transfusion in total shoulder arthroplasty? A review of 1992 cases⁵

- CHF
- Chronic steroid use
- Pre-op HCT < 38%
- ASA 4
- Operative time > 2 hours

Waterman et al, *JSES* 2015⁶

- Pre-existing cardiac disease
 - Along with increased age = increased mortality risk
- PVD combined with OR time > 174 minutes

Readmission

- Range: 2.5% - 6.6%⁷⁻¹⁰
- Cancienne et al, JBJS Am 2017¹¹
 - 703 outpatient TSA
 - 16% readmission
 - RF's: obesity, DM, PVD, **CHF**, depression, chronic anemia, chronic lung disease
 - 4459 inpatient TSA
 - 9% readmission
 - Higher risk of UTI and blood transfusion

Neer Award 2016: Outpatient total shoulder arthroplasty in an ambulatory surgery center is a safe alternative to inpatient total shoulder arthroplasty in a hospital: a matched cohort study

Tyler J. Brolin, MD, Ryan P. Mulligan, MD, Frederick M. Azar, MD, Thomas W. Throckmorton, MD*



- 30 patients, age / co-morbidity matched
 - ASC (outpatient) **mean age 52 yrs** vs. hospital age 54 yrs
 - Single surgeon experience
 - Anatomic TSA's
 - Multi-modal pain management for both groups

Results

- **No difference in complication rate**

– 13% ASC vs.
10% Hosp

Neer Award 2016: Outpatient total shoulder arthroplasty in an ambulatory surgery center is a safe alternative to inpatient total shoulder arthroplasty in a hospital: a matched cohort study

Tyler J. Brolin, MD, Ryan P. Mulligan, MD, Frederick M. Azar, MD, Thomas W. Throckmorton, MD*

Table II The 90-day episode-of-care complications

| | ASC | Hospital | <i>P</i> value |
|-------------------------------|-----------|-----------|----------------|
| Total complications | 4 (13.3%) | 3 (10.0%) | 1.00 |
| Infection | 0 | 0 | 1.00 |
| Anterior subluxation | 1 (3.3%) | 1 (3.3%) | 1.00 |
| Subscapularis failure | 1 (3.3%) | 0 | 1.00 |
| Arthrofibrosis | 2 (6.7%) | 0 | .49 |
| Superficial venous thrombosis | 0 | 1 (3.3%) | 1.00 |
| Blood transfusion | 0 | 1 (3.3%) | 1.00 |
| Readmission within 90 days | 0 | 0 | 1.00 |
| Reoperation within 90 days | 0 | 0 | 1.00 |

ASC, ambulatory surgery center.

Key Points of this study:

No cardiopulmonary complications or infections

No readmissions within 90 days

Study established baseline for future

Identifying appropriate candidates for ambulatory outpatient shoulder arthroplasty: validation of a patient selection algorithm

Matthew N. Fournier, MD^a, Tyler J. Brolin, MD^a, Frederick M. Azar, MD^a,
Raj Stephens, MD^b, Thomas W. Throckmorton, MD^{a,*}



- Prospectively obtained data
- 49 TSA , 12 RSA (all primary)
- Patients selected based on data and refined progressively
- Decision points
 - From data in literature on shoulder, hip and knee
 - Previously not described as *used for creation of algorithm*

STEPWISE ALGORITHM

- #1 - Age

- < 70 years ✓

- #2 - Anemia

- HCT > 30 ✓

- HCT < 30 ✗ workup / re-evaluate

- #3 - Pulmonary comorbidity

- COPD / OSA / BMI > 35

- 1 out of 3 ? ✓ BUT no interscalene block

- ≥ 2 out of 3? ✗

Patient-specific factors

- #4 - Cardiac comorbidity

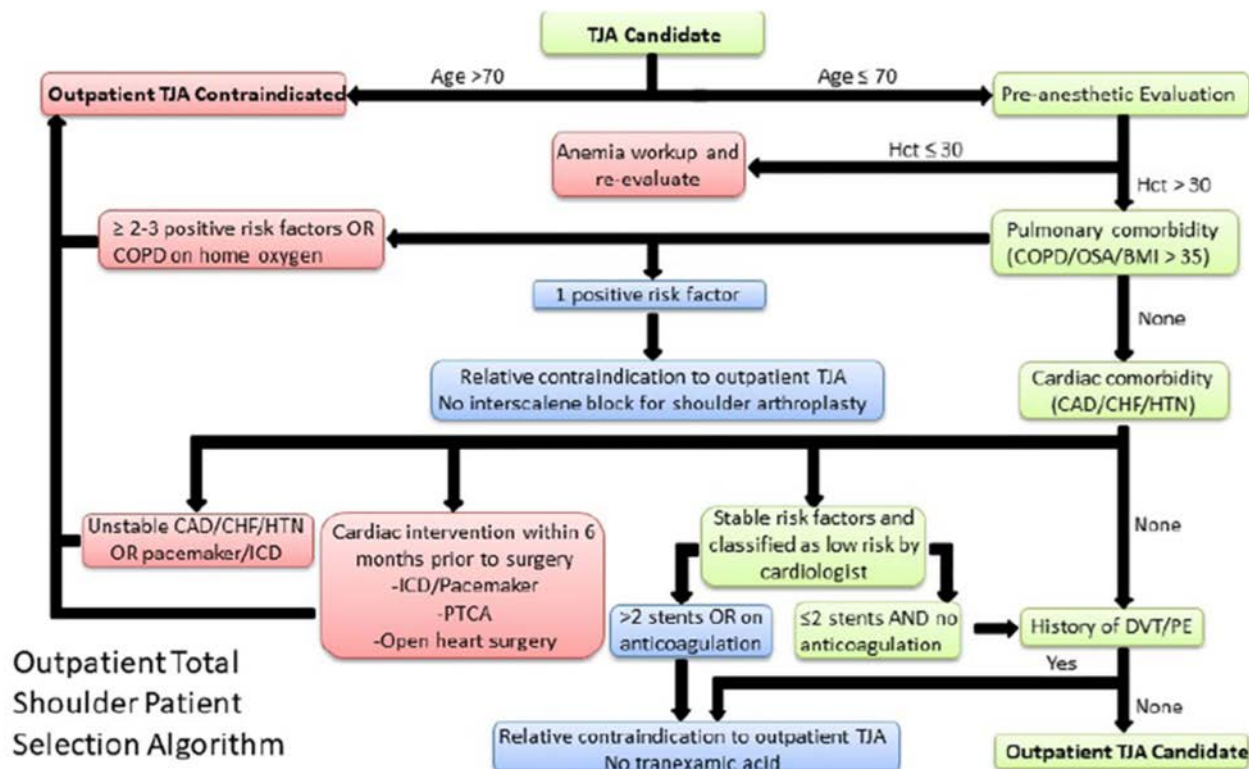
- no CAD / CHF / HTN ✓
- **Stable** risk factors (per cardiologist)
 - <2 stents AND no anticoagulation ✓
- **Unstable CAD / CHF / HTN** X
- **Pacemaker / ICD** X
- **Cardiac intervention w/in 6 months prior** X
- **PTCA** X
- **Open heart surgery** X

- #5 - VTE History

–No ✓

–Yes ✗

- Relative contraindication, no TXA



Peri-operative protocols

- Multimodal pain regimen
 - Med combination given post-op AND pre-op
 - Oxy IR/ER, celecoxib, gabapentin
 - Intra-op **peri-articular block**
 - Liposomal bupivacaine, epinephrine, ketorolac
 - Deltoid, pec major, surrounding soft tissues
- No interscalene (or other) block
- No post-op XR
- No drains
- Discharge criteria: walk, eat, void, PO meds sufficient

Results

- 61 patients
 - 39 (64%) men
 - Mean age 58 (r: 37 - 69) years
- 7 Complications
 - 2 medical
 - 5 surgical

Peri-op (medical)

- bradycardia*
- hypotension*

Post-discharge

- Hematoma
- Stiffness
- Anterior subluxation
- Subscap rupture

Table II Complications

| Event | No. (%) (n = 61) | Outcome |
|--|---------------------|--------------------------|
| Reoperation within 90 days | 1 (1.6) | Hematoma evacuation |
| Readmission within 90 days | 0 | |
| Total complications | 7 (11.5) | |
| Infection | 0 | |
| Cardiopulmonary events | 0 | |
| Hematoma | 1 (1.6) | Evacuation |
| Perioperative anesthesia complications | 2 (3.3) | |
| Transient postoperative bradycardia | | Uneventful recovery |
| Acute hypotension | | Surgery aborted |
| Arthrofibrosis | 2 (3.3) | Additional physiotherapy |
| Anterior subluxation | 1 (1.6) | No treatment |
| Subscapularis rupture | 1 (1.6) | No treatment |

Our Experience

- Limited thus far, promising
- **RSA > TSA**
- Indications:
 - Patient-expressed desire / home support
 - No prior chronic opioid use
 - Primary TSA or RSA, no fractures
- Relative contraindications
 - Age >75 years** (*have done in 84 y.o.*)
 - Significant cardiac / pulmonary co-morbidities
 - Pre-op anemia
 - BMI > 35

Perioperative

- Pre-op **liposomal bupivacaine interscalene block**
 - Effective up to 72 hours
 - Operator / experience - dependent
 - Repeated in PACU if not effective
- Tolerating PO / able to **ambulate safely**
- Relatively normotensive (i.e. no pressure lability)

Postoperative

- I call on POD0, POD2, seen in clinic POD3
- No medical or short term (< 30 days) complications thus far
- No re-admissions or re-operations

Summary

- Outpatient TSA and RSA **can be safe**
- **Patient selection** / medical clearance is critical
- Multi-disciplinary approach for success:
 - Surgeon
 - Anesthesia
 - Perioperative nursing staff
 - Phone support
- Additional **high-quality data reporting needed** to refine the selection algorithm / criteria / protocol

References

- 1) Day JS, Lau E, Ong KL, Williams GR, Ramsey ML, Kurtz SM. Prevalence and projections of total shoulder and elbow arthroplasty in the United States to 2015. J Shoulder Elbow Surg. 2010 Dec;19(8):1115-20. Epub 2010 Jun 15.
- 1) Kim SH, Wise BL, Zhang Y, Szabo RM. Increasing incidence of shoulder arthroplasty in the United States. J Bone Joint Surg Am. 2011 Dec 21;93(24): 2249-54.
- 2) Padegimas EM, Maltenfort M, Ramsey ML, Williams GR, Parvizi J, Namdari S. Periprosthetic shoulder infection in the United States: incidence and economic burden. J Shoulder Elbow Surg. 2015 May;24(5):741-6. Epub 2015 Jan 13.
- 3) Singh JA, Sperling JW, Cofield RH. Cardiopulmonary complications after primary shoulder arthroplasty: a cohort study. Semin Arthritis Rheum 2014;41:689-97. <http://dx.doi.org/10.1016/j.semarthrit.2011.09.003>

- 5) Anthony CA, Westermann RW, Gao Y, et al. What are risk factors for 30-day morbidity and transfusion in total shoulder arthroplasty? A review of 1922 cases. Clin Orthop Relat Res 2015;473:2099–105.
- 6) Waterman BR, Dunn JC, Bader J, et al. Thirty-day morbidity and mortality after elective total shoulder arthroplasty: patient-based and surgical risk factors. J Shoulder Elbow Surg 2015;24:24–30.
- 7) Matsen FA, Li N, Gao H, et al. Factors affecting length of stay, readmission, and revision after shoulder arthroplasty: a population-based study. J Bone Joint Surg Am 2015;97:1255–63.
- 8) Mahoney A, Bosco JA, Zuckerman JD. Readmission after shoulder arthroplasty. J Shoulder Elbow Surg 2014;23:377–81.
- 9) Anakwenze O, Fokin A, Chocas M, et al. Complications in total shoulder and reverse total shoulder arthroplasty by body mass index. J Shoulder Elbow Surg 2017;26(7):1230–7.
- 10) Westermann RW, Anthony CA, Duchman KR, et al. Incidence, causes and predictors of 30-day readmission after shoulder arthroplasty. Iowa Orthop J 2016;36:70–4.

- 11) Cancienne JM, Brockmeier SF, Gulotta LV, et al. Ambulatory total shoulder arthroplasty: a comprehensive analysis of current trends, complications, readmissions, and costs. J Bone Joint Surg Am 2017;99:629–37.